



CF/002 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Stuart A. Fraser et al.

Application No.: 10/829,119 Confirmation No.: 6481

Filed : April 20, 2004

For : SYSTEMS AND METHODS FOR TRADING

Group Art Unit : 3624

New York, New York  
March 30, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR  
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Second Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- [ ] within three months of the application filing date;  
 [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment

of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

  
Hassan Albakri

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Andrew Shive  
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P.O. Box 1450 New York, New York  
Alexandria, Virginia 22313-1450 March 30, 2005

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants wish to call the attention of the Examiner to the following documents:

U.S. Patent Documents

Wagner 4,903,201 02-20-1990

Miller et al. 5,640,569 06-17-1997

Foreign Patent Documents

PCT	WO 96/05563 A1	02-22-1996
EPO	EP 0828223 A2	03-11-1998
PCT	WO 98/34187 A1	08-06-1998

OTHER DOCUMENT

eBay, eBay Inc., at <http://www.ebay.com> (printed March 29, 2005).

The aforementioned documents are listed on the accompanying Form PTO/SB/08A (submitted in duplicate) and copies thereof are enclosed herewith.

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application.

Applicants request that a copy of Form PTO/SB/08A, as considered and initialized by the Examiner, be returned with the next communication.

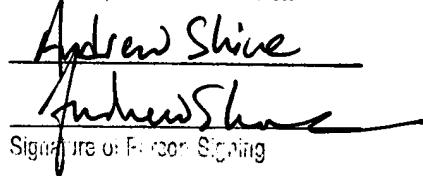
Consideration of the foregoing in relation to  
this patent application is respectfully requested.

Respectfully submitted,



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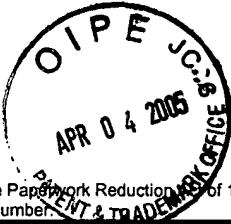
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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449/PTO				<b>Complete if known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Application Number</b>	10/829,119
(use as many sheets as necessary)				<b>Confirmation Number</b>	6481
				<b>Filing Date</b>	April 20, 2004
				<b>First Named Inventor</b>	Stuart A. Fraser
				<b>Art Unit</b>	3624
				<b>Examiner Name</b>	Not Yet Known
Sheet 1 of 1				<b>Attorney Docket Number</b>	CF/002 CON

<b>U.S. PATENT DOCUMENTS</b>					
Examiner initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)			
		4,903,201	02-20-1990	Wagner	
		5,640,569	06-17-1997	Miller et al.	

Examiner Signature		Date Considered	
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<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>1</sup> - Number <sup>2</sup> - Kind Code <sup>3</sup>			
		WO 96/05563 A1	02-22-1996	Reuters Limited	
		EP 0828223 A2	03-11-1998	Hitachi, Ltd.	
		WO 98/34187 A1	08-06-1998	Autocom APS	

Examiner Signature		Date Considered	
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<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue numbers (s), publisher, city and/or country where published			
		T <sup>6</sup>			
		eBay, eBay Inc., at <a href="http://www.ebay.com">http://www.ebay.com</a> (printed March 29, 2005).			

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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.



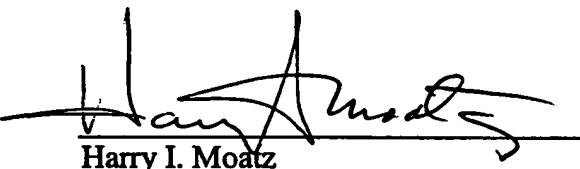
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Ropes & Gray LLP, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Ropes & Gray LLP, and a registered practitioner, who is a member of the law firm of Ropes & Gray LLP, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Ropes & Gray LLP ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: January 1, 2008



Harry I. Moatz

Director of Enrollment and Discipline